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TO TRADE

Seeter/AD B/Scg PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

EDGAR B. CAHOON

CASE NO.: BB1465 US NA

APPLICATION NO.: 09/909,566

GROUP ART UNIT: 1645

FILED: JULY 20, 2001

EXAMINER: UNKNOWN

FOR: A CYTOCHROME P450 ENZYME

ASSOCIATED WITH THE SYNTHESIS OF  $\Delta^{12}$ -EPOXY GROUPS IN FATTY ACIDS OF

PLANTS

RESPONSE TO MOTICE TO FILE MISSING PARTS OF APPLICATION-FILING DATE GRANTED

Assistant Commissioner for Patents Washington, DC 20231

Attention: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts of Application mailed October 2, 2001 (a copy of which is attached), enclosed is a Declaration for the above-referenced application. Please charge the \$130 00 surcharge fee applicable for filing the Declaration on a date later than the filing date to Deposit Account 04-1928 (E. I. du Pont de Nemours and Company). If the fee is insufficient or incorrect, please charge or credit the balance to the above-identified account.

Respectfully submitted,

Lynne M. Christophu Lynne M. CHRISTENBURY

LYNNE M. CHRISTENBURY ATTORNEY FOR APPLICANT REGISTRAFION NO.30,971 TELEPHONE: 302-992-5481 FACSIMILE: 302-892-1026

Dated: 14, 2001

PTO/SB/92 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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### Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

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on Movember 14, 2001.

Date

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LISA Z. TURNER

Type or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

09/909566
BB1465 US NA
RESPONSE TO NOTICE OF MISSING PARTS
COPY OF NOTICE OF MISSING PARTS
DECLARATION
POWER OF ATTORNEY (1)
FEE SHEET
CORRECTED SEQUENCE LISTING - CRF
CORRECTED SEQUENCE LISTING - PAPER COPY - 5 PAGES
STATEMENT UNDER 37 CFR 1.821(g) and 1.825(b)

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032

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the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Complete if Known 09/909,566 Application Number July 20, 2001 Filing Date Edgar B. Cahoon First Named Inventor Unknown **Examiner Name** 

Patent fees are subject to annual revision.

1645 Group / Art Unit **BB1465 US NA** Attorney Docket No. 130 TOTAL AMOUNT OF PAYMENT

METH	IOD OF PA	YMENT (check all that apply)	<u> </u>			FEE C	ALCULATION (continued)	
Check Cred		Money Order Other None	3. ADD	OITIONAL Entity		Entity		
Deposit Account:			Fee	Fee	Foe	Fee	Fee Description	Fee Paid
			Code	(\$) 130	Code 205	(\$) 65	Surcharge - late filing fee or oath	130.00
Deposit Account Number	04-192	8	105	50	205	25	Surcharge - late provisional filing fee or cover sheet.	
Manage			139	130	139	130	Non-English specification	
Deposit	Fldu	Pont de Nemours and Company	147	2,520	147	2,520	For filing a request for reexamination	
Account Name			112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
⊠ Chome fee(s) indi	icated belov	ed to: (check all that apply)  V Credit any overpayments	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
M Chame any additi	ional fee(s)	during the pendency of this application	115	110	215	55	Extension for reply within first month	
Charge fee(s) ind	icated belov	w, except for the filling fee to the	1116	400	216	200	Extension for reply within second month	
above-identified d	ecoa tisoge!	ount	117	920	217	460	Extension for reply within third month	
	FE	E CALCULATION	118	1,440	218	720	Extension for reply within fourth month	
54510 FILE			128	1,960	228	980	Extension for reply within fifth month	
1. BASIC FIL	ING FEE		119	320	219	160	Notice of Appeal	
		Fac Deceription	120	320	220	160	Filing a brief in support of an appeal	$\Box$
Fee Fee Fe Code (\$) Co		Fee Pald	121	280	221	140	Request for oral hearing	$\sqcup$
101 740 20	01 370		138	1,510	138	1,510	Petition to institute a public use proceeding	
1 100	06 165 07 255		140	110	240	<b>5</b> 5	Petition to revive unavoidable	
1 101	07 255 08 370		141	1,280	241	640	Petition to revive – unintentional	$\vdash$
100	14 80	Provisional filling fee	142	1,280	242	640	Utility issue fee (or reissue)	<b>  </b>
114 160 2	00		143	460	243	230	Design issue fee	<b> </b>
		SUB) OTAL (1) (\$) 0	144	620	244	310	Plant issue fee	$\vdash$
ļ		30810175(1)	122	130	122	130	Petitions to the Commissioner	$\vdash$
2. EXTRA CLAIM	FEES		123	50	123	50	Processing fee under 37 CFR 1.17(q) Submission of Information Disclosure	
		Extra Fee from Fee Claims below Paid	126	180	126	180	Stmt	
Total Claims Independent	-20	= 0 X 18 = 0	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Claims	-3		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Multiple Dependent		X 280 = 0	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
Large Entity	Small Er	5	179	740	279	370	Request for Continued Examination (RCE)	
Fee Fee Code (\$)	Code	(\$)	169	900	169	900	Request for expedited examination of a design application	
103 18	203	9 Claims in excess of 20 42 Independent claims in excess of 3			I			
102 84	202	140 Multiple dependent claims in excess of 3  140 Multiple dependent claim, if not paid	Gthe	er fee (spe	ecify)			
104 280	204	** Reissue independent claims over	1	, , ,	• • • • • • • • • • • • • • • • • • • •			
109 84	209	42 original patent						
110 18	210	9 ** Reissue claims in excess of 20 and over original patent						
'	•	SUBTOTAL (2) (\$) 0					<del></del>	
ttor number provid	iously paid	if greater, For Reissues, see above	*Red	tuced by	Basic Fi	iling Fee f	Paid SUBTOTAL (3) (\$) 130	

_					Coi	implete (if applicable)
Н	SUBMITTED BY		Registration No. Attorney/Agent)	30,971	Telephone	302-992-5481
-	7. Carrio (i Timo 1.7)	Lynne M. Christenbury	111 - 1- 1		Date	mr-14, 2001
l	Signature	Jane 111	Christentuni	<del></del>		

WAR. (iii): Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Page 1 o

COMMISSIONER FOR PATE UNITED STATES PATENT AND TRADEMARK OF WASHINGTON, D.C. 2

www.uspt

ATTORNEY DOCKET NUMBE FIRST NAMED APPLICANT **BB1465 US NA** FILING/RECEIPT DATE Edgar B. Cahoon APPLICATION NUMBER CONFIRMATION NO. 07/20/2001 09/909,566

E I DU PONT DE NEMOURS AND COMPANY LEGAL DEPARTMENT - PATENTS 1007 MARKET STREET WILMINGTON, DE 19898

FORMALITIES LETTER OC000000006825090\*

Date Mailed: 10/02

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- A properly signed oat ar declaration in compliance with 37 CFR 1.63, identifying the application b The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.1 \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the cr the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.8 indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the of the sequence listing information recorded in computer readable form is identical to the written or compact disc) sequence listing and, where applicable, includes no new matter, as required by 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- ≥ For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

99560660 03/15/2002 SDIRETAL 00000003 041928

舌 130.00





The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/909,566 RECEIVED

Source: 00/16 001 0 9 2001

Date Processed by STIC: 8/2/2001

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: <a href="mailto:patin21help@uspto.gov">patin21help@uspto.gov</a> or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: <a href="mailto:patin3help@uspto.gov">patin3help@uspto.gov</a> or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 3.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

#### **Checker Version 3.0**

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address: http://www.uspto.gov/web/offices/pac/checker

#### Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 09/909,566
ATTN: NEW RULES CASES	: PLEASE DISREGARD ENGLISH "ALPIIA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE
1Wrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.
3Misaligned Amino Numbering	The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
5Variable Length	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
6PatentIn 2.0 "bug"	A "bug" in Patentln version 2.0 has caused fire <220>-<223> section to be missing from amino acid sequences(s) Normally, Patentln would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped
8Skipped Sequences (NEW RULES)	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to Include the skipped sequences.  Sequence(s) missing. If Intentional, please insert the following lines for each skipped sequence.  <210> sequence id number  <400> sequence id number  000
Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing.  Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
0Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
IUse of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses.  Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
2PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of Patentln version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
3Misuse of n	in can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.

AMC/MH - Biotechnology Systems Branch - 08/21/2001



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OIPE

KAW SEQUENCE LISTING

PATENT APPLICATION: US/09/909,566

DATE: 08/02/2001

TIME: 11:03:33

po 4-5

Input Set : A:\BB1465 US NA Seq Listing.txt
Output Set: N:\CRF3\08022001\I909566.raw

Does Nct Comply
Corrected Diskette Needed

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2-epoxy
             fatty acids
     6
     8 <130> FILE REFERENCE: BB1465 US NA
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 / 11 <141> CURRENT FILING DATE: 2001-07-20
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1--> 14 <151> PRIOR FILING DATE: July 21, 2000 200-07-21 & use this date famet
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RAW SEQUENCE LISTING DATE: 08/02/2001 PATENT APPLICATION: US/09/909,566 TIME: 11:03:33

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	_	Glu	Gln	Lys	Asn 5	Leu	Ser	Phe	Pro	Ser 10	IIe	Leu	11e	ser	15	Leu
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97 99	Cvs	Lvs	Gln	Lvs	Glu	Arg	Leu	Leu		Val	Ala	Asp	Ala	Val	Asn	Glu
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		s Ası			n Val	Pro	Val	280	v V	LTni	ASL	GIU	285	. II.	з цуз	s Ala
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12	3 Ly	s Ala	a Glr	ı Glu	ı Glu	ı Val	Arg	g Glr	ı Val	L Phe	Gly	GLU	ı Met	GIZ	335 335	s Val
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RAW SEQUENCE LISTING

DATE: 08/02/2001 TIME: 11:03:33

PATENT APPLICATION: US/09/909,566

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139		_	•		405	_,	<b>01</b>		77 1		Dho	Cly	λla	Clv		Δτα
	Tyr	Lys	GTĀ		Thr	Pne	GIU	rea	Val	PIO	Pile	GIY	AIG	430	цуз	nry
.142				420			_		425	m L		T 0.11	C1.,		Va 1	Tlo
144	Ile	Cys		Gly	Ile	Thr	Ser	Ala	TTE	Thr	ASII	ьeu	GIU	ıyı	Val	116
145			435					440	_	~1	<b>.</b>	. 1 -	445	<i>α</i> 1	т10	
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148		450					455				<b>41</b>	460		T 0	2 ~~	T 110
150	Pro	Gln	Thr	Leu	Asp		Thr	Glu	Ala	шe	GLY	GIY	Ald	reu	ALY	LYS
151	465					470				_	475	<b>a</b> 1 -	**- 1		T	480
153	Lys	Ile	Asp	Leu	Lys	Leu	Ile	Pro	Ile	Pro	Tyr	GIn	vaı	ser	Leu	GIA
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169	Ser	Ile	Ile	Leu	Leu	Leu	Lys	Lys	Trp	Lys	Thr	Gln	Lys	Leu	Asn	Leu
170				20					25					30		
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203	LOU	Thr	21v	Glv	Phe	Ser	Tle		Asp	Phe	Phe	Pro	Thr	Trp	Lys	Met
∠U3	րեո	TIT	GTÅ	GIY	LITE									-	-	

RAW SEQUENCE LISTING DATE: 08/02/2001 PATENT APPLICATION: US/09/909,566 TIME: 11:03:33

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220 Ile Thr Asp Asp Asn Ile Lys Ser Ile Leu Val Asp Met Phe Ser Ala
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229 Val Leu Lys Glu Lys Lys Gly Phe Gln Gln Ile Asp Leu Asp Glu Leu
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VERIFICATION SUMMARY

PATENT APPLICATION: US/09/909,566

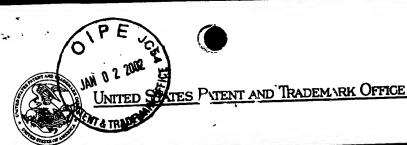
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FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

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07/20/2001

Edgar B. Cahoon

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# NOTICE TO FILE MISSING PARTS OF NOTIFE ROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

 The oath or declaration is missing. A properly signed oat ar declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 130.

A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

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- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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